

Unpledge Request Form (URF)

☐ Unpledge by Pledgor ☐ Unpledge by pledgee ☐ *Unpledge Rejection

To,

MAX STOCK BROKING PVT LTD.
2/DESAI SOCIETY
NR.MAHADEV TEMPLE
NADIAD.387001

Please fill all the details in **Block Letters** in English

| | | | | | | | | | | |
|---------|--|------|---|---|---|---|---|---|---|---|
| URF No. | | Date | D | D | M | M | Y | Y | Y | Y |
|---------|--|------|---|---|---|---|---|---|---|---|

I/We request you to set up an **Unpledge** request on my / our behalf. I / We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to unpledge of securities and I / We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such unpledge requests.

| Pledgor's Details | | | | | | | | | | | | |
|-------------------|----|--|--|--|--|--|--|--|-----------|--|--|--|
| DP ID | | | | | | | | | Client ID | | | |
| Pledgor's Name | 1. | | | | | | | | | | | |
| | 2. | | | | | | | | | | | |
| | 3. | | | | | | | | | | | |

| Pledgee's Details | | | | | | | | | | | | |
|-------------------|----|--|--|--|--|--|--|--|-----------|--|--|--|
| DP ID | | | | | | | | | Client ID | | | |
| Pledgee's Name | 1. | | | | | | | | | | | |
| | 2. | | | | | | | | | | | |
| | 3. | | | | | | | | | | | |

| | | | | | | | | |
|--------------------|---|---|---|---|---|---|---|---|
| Date of Unpledging | D | D | M | M | Y | Y | Y | Y |
|--------------------|---|---|---|---|---|---|---|---|

| Sr. No | PSN | ISIN | Name of the Security | Total Quantity pledged | Quantity to be unpledged | Accepted / rejected by Pledgee |
|--------|-----|------|----------------------|------------------------|--------------------------|--------------------------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

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|--|
| The unpledge request is being set up for the reasons mentioned hereunder: - |
| |

| Unpledge Rejection Details | Unpledge Rejection by Pledgor DP | Unpledge Rejection by Pledgee DP |
|---|---|---|
| Date of Rejection of Unpledge | | |
| Unpledge Reason [tick mark whichever is applicable] | <input type="checkbox"/> 01 Units not available for Amount requested. <input type="checkbox"/> 02 Closure Date not accepted. <input type="checkbox"/> 03 Pledged Quantity not accepted. <input type="checkbox"/> 04 ISIN not accepted. <input type="checkbox"/> 05 Security details not acceptable. <input type="checkbox"/> 06 ISIN delisted from Trading. <input type="checkbox"/> 07 POA not received from all holders. <input type="checkbox"/> 08 Holders not acceptable to the pledgee. <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. <input type="checkbox"/> 10 Others. <input type="checkbox"/> 11 Transfer Quantity not accepted. <input type="checkbox"/> 12 Violation of terms of agreement. <input type="checkbox"/> 13 Closure Quantity not accepted. <input type="checkbox"/> 14 Pledged amount/margin not paid. <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient. | <input type="checkbox"/> 01 Units not available for Amount requested. <input type="checkbox"/> 02 Closure Date not accepted. <input type="checkbox"/> 03 Pledged Quantity not accepted. <input type="checkbox"/> 04 ISIN not accepted. <input type="checkbox"/> 05 Security details not acceptable. <input type="checkbox"/> 06 ISIN delisted from Trading. <input type="checkbox"/> 07 POA not received from all holders. <input type="checkbox"/> 08 Holders not acceptable to the pledgee. <input type="checkbox"/> 09 Agreement no. differs from that on the agreement. <input type="checkbox"/> 10 Others. <input type="checkbox"/> 11 Transfer Quantity not accepted. <input type="checkbox"/> 12 Violation of terms of agreement. <input type="checkbox"/> 13 Closure Quantity not accepted. <input type="checkbox"/> 14 Pledged amount/margin not paid. <input type="checkbox"/> 15 Market value of the pledged ISIN is insufficient. |
| If you have selected 'Others', then please specify the exact reason for rejection | | |

I / We declare that the above particulars given by me/ us above are true to the best of my/ our knowledge.

To be filled and signed in case of Set-up/Rejection of Unpledge by Pledgee BO

Signature of the Pledgee

| First/ Sole Applicant | Second Applicant | Third Applicant |
|-----------------------|------------------|-----------------|
| | | |

To be filled and signed in case of Set-up/Rejection of Unpledge by Pledgor BO

Signature of the Pledgor

| First / Sole Applicant | Second Applicant | Third Applicant |
|------------------------|------------------|-----------------|
| | | |

Depository Participant seal and signature